AP3 Rec'd PCT/PTO 1 6 MAY 2009

Express Mail Certificate: EV 821 185 151 US TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 40853-01-5142US02 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING SUBMISSION UNDER 35 U.S.C. 371 U.S. APPLICATION NO. (if known INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2004/039712 24 November 2004 24 November 2003 TITLE OF INVENTION GLYCOPEGYLATED ERYTHROPOIETIN APPLICANT(S) FOR DO/EO/US Shawn DEFREES, Robert J. BAYER, David A. ZOPF Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. ☒ 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5). 3. (6), (9) and (21) indicated below. The U.S. has been elected (Article 31). 4. 図 5. Ø A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 20. below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  $\boxtimes$ 13. A preliminary amendment.  $\boxtimes$ An Application Data Sheet under 37 CFR 1.76. 15.  $\boxtimes$ A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20.  $\boxtimes$ Other items or information: Postcard, copies of Int'l. Search Report and 2 references cited thereon, Preliminary Amendment, 12 replacement figures

U.S. APPLIC AF 0/579621 ATTORNEY'S DOCKET NUMBER PCT/US2004/039712 40853-5142US02 The following fees are submitted: Applicant Use Office use only X Basic national fee 300.00 × b) Examination fee 200.00 c) Search fee 100.00 TOTAL OF ABOVE CALCULATIONS = 600.00 × Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total sheets Extra sheets Number of each additional 50 **RATE** or fraction thereof (round up to a whole number 129-100 = 29 x \$250.00 250.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed \$ priority date (37 CFR 1.492(e)). **CLAIMS** NUMBER FILED NUMBER EXTRA RATE Total claims 34 - 20 =14 x \$50.00 700.00 Independent claims 2-3= 0 x \$200.00 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 \$ TOTAL OF ABOVE CALCULATIONS = \$ 2150.00 × Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced \$ 1075.00 SUBTOTAL = 1075.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = 1075.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an \$ appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 1075.00 Amount to be refunded: \$ Amount to be charged: a. A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 50-0310 in the amount of \$1075.00 to cover the above fees. b. × A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to × C. Deposit Account No. 50-0310. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card d. information should not be included on this form. Provide credit card information and authorization on PTO-2038. SEND ALL CORRESPONDENCE TO: MORGAN, LEWIS & BOCKIUS LLP (Customer Number 43850) <u>Jeffry S. Mann</u> NAME 42,837 REGISTRATION NUMBER